

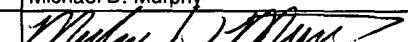
Please type a plus sign (+) inside this box →

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UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No. 2002-0848.02/4670-178	PRO
		First Inventor or Application Identifier William Paul Cook	U S 107647420 08/25/03
		Title A Method and Apparatus to Control Wastewater Collection in an Image Forming Apparatus	S 22388 107647420 08/25/03
(Only for new nonprovisional applications under 37 CFR § 1.53(b))		Express Mail Label No. EV 051922635	
APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>		Mail Stop Patent Application ADDRESS TO: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
<p>1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages 30] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"> — Descriptive title to the Invention — Cross References to Related Applications — Statement Regarding Fed sponsored R & D — Reference to Microfiche Appendix — Background of the Invention — Brief Summary of the Invention — Brief Description of the Drawings (if filed) — Detailed Description — Claim(s) — Abstract of the Disclosure <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 7]</p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 4]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]</p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies </p>			
ACCOMPANYING APPLICATION PARTS			
<p>8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>9. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>14. <input type="checkbox"/> *Small Entity <input type="checkbox"/> Statement filed in prior application, Statement(s) Status still proper and desired</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input checked="" type="checkbox"/> Other: Express Mail Certification</p>			
<p>*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</p>			
<p>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary statement: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: _____ / _____ Prior application information: Examiner: _____ Group/Art Unit: _____</p>			

18. CORRESPONDENCE ADDRESS

Customer Number or Correspondence address below

NAME	LEXMARK INTERNATIONAL, INC.			
	ATT: JOHN J. McARDLE, JR.			
ADDRESS	740 WEST NEW CIRCLE ROAD			
CITY	LEXINGTON	STATE	KY	
COUNTRY	USA	TELEPHONE	859-232-3939	
Name (Print/Type)	Michael D. Murphy		Registration No. (Attorney/Agent)	44,958
Signature			Date	August 25, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1

These are the fees effective December 29, 1999.

Small Entity payments must be supported by a small entity statement.
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$1,582.00)*Complete if Known*

Application Number	TBA
Filing Date	August 25, 2003
First Named Inventor	William Paul Cook
Examiner Name	TBA
Group Art Unit	TBA
Attorney Docket No.	2002-0848.02/4670-178

METHOD OF PAYMENT (check one)

- 1.
-
- The Commission is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 18-1167

Deposit Account Name Coats & Bennett, P.L.L.C.

 Charge Any Additional Fee Required Under 37 CFR §§1.16 and 1.17 Charge the Issue Fee Set in 37 CFR §1.18 at the Mailing of the Notice of Allowance

- 2.
-
- Payment Enclosed:
-
-
- Check
-
- Money Order
-
- Other

FEES CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	750	201	345 Utility filing fee	750.00
106	310	206	155 Design filing fee	
107	480	207	240 Plant filing fee	
108	760	208	380 Reissue filing fee	
114	150	214	75 Provisional filing fee	
SUBTOTAL (1)				(\$750.00)

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
50	6	-20** = 30	X 18.00 = 540.00	
		-3** = 3	X 84.00 = 252.00	
Multiple Dependent Claims				
** or number previously paid, if greater; For Reissues, see below				
Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	
103	18	203	9 Claims in excess of 20	
102	78	202	39 Independent claims in excess of 3	
104	260	204	130 Multiple dependent claim	
109	78	209	39 **Reissue independent claims over original patent	
110	18	210	9 **Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$792.00)

Large Fee Code	Entity Fee (\$)	Small Entity Fee Code	Entity Fee (\$)	Fee Description	Paid
105	130	205	65 Surcharge - late filing fee or oath		
127	50	227	25 Surcharge - late provisional filing fee or cover sheet		
139	130	139	130 Non-English specification		
147	2,520	147	2,520 For filing a request for reexamination		
112	920*	112	920* Requesting publication of SIR prior to Examiner action		
113	1,840*	113	1,840* Requesting publication of SIR after Examination action		
115	110	215	55 Extension for reply within first month		
116	380	216	190 Extension for reply within second month		
117	870	217	435 Extension for reply within third month		
118	1,360	218	680 Extension for reply within fourth month		
128	1,850	228	925 Extension for reply within fifth month		
119	300	219	150 Notice of Appeal		
120	300	220	150 Filing a brief in support of an appeal		
121	260	221	130 Request for oral hearing		
138	1,510	138	1,510 Petition to institute a public use proceeding		
140	110	240	55 Petition to revive - unavoidable		
141	1,210	241	605 Petition to revive - unintentional		
142	1,210	242	605 Utility issue fee (or reissue)		
143	430	243	215 Design issue fee		
144	580	244	290 Plant issue fee		
122	130	122	130 Petitions to the Commissioner		
123	50	123	50 Petitions related to provisional applications		
126	240	126	240 Submission of Information Disclosure Stmt.		
581	40	581	40 Recording each patent assignment per property (times number of properties)		40.00
146	760	246	380 Filing a submission after final rejection (37 CFR 1.129(a))		
149	760	249	380 For each additional invention to be examined (37 CFR 1.129(b))		
Other fee (specify)					
Other fee (specify)					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$40.00)

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Michael D. Murphy			Reg. Number	44,958
Signature		Date	August 25, 2003	Deposit Account User ID	18-1167

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Cook, et al.)
Serial No.:)
Filed:)
Title: A METHOD AND APPARATUS TO)
CONTROL WASTE TONER COLLECTION IN)
AN IMAGE FORMING APPARATUS)
)

EXPRESS MAIL CERTIFICATION
LABEL NO.: EV 051922635 US

Attorney's Docket No: 4670-178

Raleigh, North Carolina
August 25, 2003

MAIL STOP PATENT APPLICATION
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

Sir:

EXPRESS MAIL CERTIFICATE LABEL NO.: EV 051922635 US

DATE MAILED: August 25, 2003

I hereby certify that the enclosed US Utility Patent Application Transmittal Form, Fee Transmittal Form (2 Copies), specification and claims, drawings (1 set of 7 sheets), Declaration and Power of Attorney, Assignment and Recordation Sheet, Information Disclosure Form, and our check number 9945 in the amount of \$1,582.00 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: **Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Respectfully submitted,

COATS & BENNETT, P.L.L.C.

By:



Michael D. Murphy
Registration No. 44,958

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